Form 210A (10/06)

United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of	<u>Transferee</u>
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Name of Transferor

Deutsche Bank AG, London Branch

The Royal Bank of Scotland Plc

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch Winchester House, 1 Great Winchester Street London EC2N 2DB

Tel: +44 20 7547 2400 Fax: +44 113 336 2010 Attention: Michael Sutton E-mail: Michael.sutton@db.com Court Claim # (if known): See attached Schedule

Amount of Claim (transferred):

See attached Schedule

(plus all interest, costs and fees relating to these claims)

Date Claim Filed: See attached Schedule

Tel: N/A

Last Four Digits of Acct. #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Tel: +44 20 7547 2400 Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Date: 14 JUAL 2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

Alex Darbyshire Vice President Simon Glennie Director

ISIN/CUSIP	LBHI Claim	Date Claim Filed	Dringi	nal/Mational A
	Number	and Gladin Hed	Frinci	pal/Notional Amount
XS0331504745	40647	Oct 16, 2009	USD	
XS0326608279	41347	Oct 19, 2009		130,000.00
XS0326708491	42084	Oct 19, 2009	USD	140,000.00
XS0349511872	42161	Oct 19, 2009	USD	420,000.00
XS0331769538	43050	Oct 21, 2009	USD	400,000.00
XS0327940929	43114	Oct 21, 2009	USD	250,000.00
XS0334922860	43809	Oct 22, 2009	AUD	130,000.00
XS0326608279	44051		HKD	500,000.00
XS0348407411	44052	Oct 22, 2009	USD	90,000.00
XS0339560293	44075	Oct 22, 2009	HKD	900,000.00
XS0334922860	44190	Oct 22, 2009	USD	130,000.00
XS0337553688	44192	Oct 22, 2009	HKD	100,000.00
XS0331400530	4	Oct 22, 2009	USD	100,000.00
XS0331400027	44193	Oct 22, 2009	USD	20,000.00
XS0331769538	44194 44195	Oct 22, 2009	USD	20,000.00
XS0327940929	44291	Oct 22, 2009	USD	40,000.00
XS0339560293	44292	Oct 22, 2009	AUD	150,000.00
XS0339560376	44293	Oct 22, 2009	USD	170,000.00
XS0336927909	44297	Oct 22, 2009	HKD	370,000.00
XS0341160082	44530	Oct 22, 2009	USD	200,000.00
XS0326026498	44532	Oct 22, 2009	USD	50,000.00
XS0331400530	44993	Oct 22, 2009	HKD	1,000,000.00
XS0336415913	44994	Oct 23, 2009 Oct 23, 2009	USD	300,000.00
XS0331400027	44995	Oct 23, 2009 Oct 23, 2009	USD	260,000.00
XS0326608279	44996	Oct 23, 2009	USD	300,000.00
XS0349511872	44997	Oct 23, 2009	USD	330,000.00
XS0331400530	45130	Oct 23, 2009	USD	250,000.00
XS0331769454	45132	Oct 23, 2009	USD	100,000.00
XS0338525172	45133	Oct 23, 2009	USD	300,000.00
XS0337553688	45134	Oct 23, 2009	USD	180,000.00
XS0341160082	45135	Oct 23, 2009	USD	380,000.00
XS0326026498	45136	Oct 23, 2009	HKD	380,000.00
XS0327940929	45246	Oct 23, 2009	AUD	2,900,000.00
XS0336415913	45263	Oct 23, 2009	USD	200,000.00
XS0336927909	45271	Oct 23, 2009	USD	700,000.00
XS0326608279 XS0331769538	45273	Oct 23, 2009	USD	110,000.00
	45275	Oct 23, 2009	USD	130,000.00
XS0325786084	45276	Oct 23, 2009	HKD	130,000.00
XS0331769454 XS0326026225	45289	Oct 23, 2009	USD	3,000,000.00
XS0326708491	45296	Oct 23, 2009	USD	160,000.00
XS0331769538	45396	Oct 23, 2009	USD	70,000.00 150,000.00
XS0331769538	45401	Oct 23, 2009	USD	100,000.00
XS0348407411	45405	Oct 23, 2009	USD	430,000.00
XS0349512250	45443	Oct 23, 2009	HKD	500,000.00
XS0334922860	45444	Oct 23, 2009	HKD	300,000.00
XS0331769454	45445	Oct 23, 2009	HKD	200,000.00
XS0336927909	45447	Oct 23, 2009	USD	40,000.00
XS0348407411	45597	Oct 23, 2009	USD	100,000.00
XS0348407411	45751	Oct 26, 2009	HKD	3,000,000.00
XS0336927909	45752 45760	Oct 26, 2009	HKD	1,000,000.00
XS0348407411	45760 45766	Oct 26, 2009	USD	100,000.00
XS0335743398	45766 45767	Oct 26, 2009	HKD	1,000,000.00
XS0336645089	45767	Oct 26, 2009	HKD	500,000.00
XS0348407411	45768	Oct 26, 2009	USD	160,000.00
XS0349512250	45771	Oct 26, 2009	HKD	3,000,000.00
XS0326026225	45777	Oct 26, 2009	HKD	900,000.00
	45799	Oct 26, 2009	USD	30,000.00

XS0335743398	45800	Oct 26, 2009	HKD	***
XS0336250229	45801	Oct 26, 2009		520,000.00
XS0326866307	45802		USD	200,000.00
XS0328586515	45803	Oct 26, 2009	HKD	510,000.00
XS0326708491	45804	Oct 26, 2009	USD	20,000.00
XS0331400027	45805	Oct 26, 2009	USD	20,000.00
XS0341160082	45806	Oct 26, 2009	USD	20,000.00
XS0336927909	45807	Oct 26, 2009	USD	20,000.00
XS0335743398	45814	Oct 26, 2009	USD	20,000.00
XS0348407411	45824	Oct 26, 2009	HKD	2,000,000.00
XS0336415913	45836	Oct 26, 2009	HKD	4,000,000.00
XS0326608279	45837	Oct 26, 2009	USD	70,000.00
XS0326026498	45838	Oct 26, 2009	USD	100,000.00
XS0325786084		Oct 26, 2009	HKD	800,000.00
XS0336250229	45839	Oct 26, 2009	HKD	800,000.00
	45843	Oct 26, 2009	JUSD	160,000.00
XS0326608352 XS0335743398	45854	Oct 26, 2009	HKD	2,000,000.00
XS0332153807	45866	Oct 26, 2009	HKD	1,220,000.00
XS0326865671	45894 45916	Oct 26, 2009	HKD	780,000.00
XS0336415913	45918	Oct 26, 2009	USD	100,000.00
XS0326608352	45918	Oct 26, 2009	USD	70,000.00
XS0329337348	45920	Oct 26, 2009	HKD	500,000.00
XS0349511872	46870	Oct 26, 2009	HKD	400,000.00
XS0331769454	46892	Oct 26, 2009 Oct 26, 2009	USD	100,000.00
XS0335743398	46918	Oct 26, 2009	USD	400,000.00
XS0326608352	46944	Oct 26, 2009	HKD	1,000,000.00
XS0338525172	47065	Oct 26, 2009	HKD USD	2,500,000.00
XS0325786084	47351	Oct 26, 2009	HKD	160,000.00
XS0339560376	47352	Oct 26, 2009	HKD	1,200,000.00
XS0349512250	47353	Oct 26, 2009	HKD	500,000.00
XS0331504745	47361	Oct 26, 2009	USD	1,200,000.00
XS0334922860	47362	Oct 26, 2009	HKD	70,000.00
XS0336250229	47373	Oct 26, 2009	USD	500,000.00
XS0335743398	47374	Oct 26, 2009	HKD	80,000.00 500,000.00
XS0325786084	47380	Oct 26, 2009	HKD	500,000.00
XS0329337348	47501	Oct 27, 2009	HKD	300,000.00
XS0331400027 XS0326608352	47608	Oct 27, 2009	USD	120,000.00
XS0326026225	47619	Oct 27, 2009	HKD	5,630,000.00
XS0326866307	47741	Oct 27, 2009	USD	80,000.00
XS0326026498	47742	Oct 27, 2009	HKD	2,100,000.00
XS0331400027	47750	Oct 27, 2009	HKD	400,000.00
XS0335743398	47751	Oct 27, 2009	USD	50,000.00
XS0339560376	47753	Oct 27, 2009	HKD	500,000.00
XS0326608279	47754 47878	Oct 27, 2009	HKD	500,000.00
XS0326608352	47879	Oct 27, 2009	USD	200,000.00
XS0326866307	47979	Oct 27, 2009	HKD	1,500,000.00
XS0331400027	48604	Oct 27, 2009	HKD	540,000.00
XS0331400530	48605	Oct 27, 2009	USD	30,000.00
XS0331769454	48606	Oct 27, 2009	USD	30,000.00
XS0335743398	48608	Oct 27, 2009	USD	50,000.00
XS0339560293	48651	Oct 27, 2009 Oct 27, 2009	HKD	1,000,000.00
XS0334922860	48652	Oct 27, 2009 Oct 27, 2009	USD	80,000.00
XS0326608279	48667	Oct 27, 2009	HKD	470,000.00
XS0328586515	48744	Oct 27, 2009	USD	30,000.00
XS0331769538	49430	Oct 27, 2009	USD	200,000.00
XS0336250229	49467	Oct 27, 2009	USD	170,000.00
XS0336927909	49518	Oct 27, 2009	USD	200,000.00
XS0326026498	49525	Oct 27, 2009	HKD	180,000.00
	•		J. H. P.	650,000.00

XS0326026225	49575	001.07.0000	luon	
XS0331769538	49580	Oct 27, 2009	USD	130,000.00
XS0331769454	49965	Oct 27, 2009	USD	100,000.00
XS0325841368	49966	Oct 27, 2009	USD	150,000.00
XS0336927909	1	Oct 27, 2009	USD	100,000.00
XS0331769538	50340	Oct 28, 2009	USD	180,000.00
XS0334922860	50382 50669	Oct 28, 2009	USD	350,000.00
XS0331400530	50678	Oct 28, 2009	HKD	1,000,000.00
XS0331400027	50684	Oct 28, 2009	USD	140,000.00
XS0336250229	50703	Oct 28, 2009	USD	170,000.00
XS0331400530	50835	Oct 28, 2009	USD	200,000.00
XS0326026498	50836	Oct 28, 2009	USD	50,000.00
XS0335743398	50873	Oct 28, 2009	HKD	500,000.00
XS0331769538	1 .	Oct 28, 2009	HKD	1,000,000.00
XS0326026498	50879	Oct 28, 2009	USD	120,000.00
XS0331400530	50885	Oct 28, 2009	HKD	1,500,000.00
XS0331769454	50889	Oct 28, 2009	USD	110,000.00
XS0329337348	50898	Oct 28, 2009	USD	130,000.00
XS0331769538	50899	Oct 28, 2009	HKD	1,000,000.00
XS0369799845	50904	Oct 28, 2009	USD	100,000.00
XS0369799845	51250	Oct 28, 2009	USD	75,000.00
XS0348407411	51251	Oct 28, 2009	USD	80,000.00
XS0334922860	51601	Oct 28, 2009	HKD	500,000.00
XS0349512250	51602	Oct 28, 2009	HKD	1,000,000.00
XS0327940929	51628	Oct 28, 2009	HKD	1,800,000.00
XS0331504745	51772	Oct 28, 2009	AUD	200,000.00
XS0331769538	51773 55132	Oct 28, 2009	USD	130,000.00
XS0369799845	55137	Oct 29, 2009	USD	220,000.00
XS0331400530	55154	Oct 29, 2009	USD	65,000.00
XS0349511872	55213	Oct 29, 2009	USD	540,000.00
XS0331400027	55214	Oct 29, 2009	USD	500,000.00
XS0349511872	55222	Oct 29, 2009	USD	960,000.00
XS0336250229	55282	Oct 29, 2009	USD	150,000.00
XS0325786084	55283	Oct 29, 2009	USD	60,000.00
XS0326608279	56038	Oct 29, 2009	HKD	500,000.00
XS0336645089	56521	Oct 29, 2009	USD	200,000.00
XS0331400530	56522	Oct 29, 2009	USD	150,000.00
XS0331504745	56727	Oct 29, 2009	USD	140,000.00
XS0336927909	56728	Oct 29, 2009 Oct 29, 2009	USD	80,000.00
XS0327940929	56967	Oct 29, 2009 Oct 29, 2009	USD	70,000.00
XS0331769454	56968	Oct 29, 2009 Oct 29, 2009	AUD	280,000.00
XS0325841368	57068	Oct 30, 2009	USD	20,000.00
XS0326608279	57070	Oct 30, 2009		100,000.00
XS0332153807	57072	Oct 30, 2009	USD	220,000.00
XS0327940929	57100	Oct 30, 2009	AUD	800,000.00
XS0348407411	57110	Oct 30, 2009	HKD	200,000.00
XS0336250229	57134	Oct 30, 2009	USD	1,000,000.00
XS0331400530	57135	Oct 30, 2009	USD	50,000.00
XS0326608436	57136	Oct 30, 2009	USD	40,000.00
XS0327859301	57137	Oct 30, 2009	HKD	50,000.00
XS0349511872	57187	Oct 30, 2009	USD	580,000.00
XS0336250229	57196	Oct 30, 2009	USD	90,000.00
XS0325841368	57198	Oct 30, 2009	USD	20,000.00
XS0326608279	57199	Oct 30, 2009	USD	40,000.00
XS0326608436	57209	Oct 30, 2009	USD	30,000.00
XS0339560376	57210	Oct 30, 2009	HKD	120,000.00
XS0336927909	57212	Oct 30, 2009	USD	1,030,000.00
XS0326608436 XS0334922860	57213	Oct 30, 2009	USD	100,000.00
V009949558RD	57230	Oct 30, 2009	HKD	150,000.00 500,000.00
				500,000.00

XS0325786084	57231	Oct 30, 2009	HKD	000 000 00
XS0326708491	57232	Oct 30, 2009	1	620,000.00
XS0338525172	57235	1	USD	40,000.00
XS0331769538	57238	Oct 30, 2009	USD	100,000.00
XS0350571377	57257	Oct 30, 2009	USD	130,000.00
XS0326608279	57259	Oct 30, 2009	USD	130,000.00
XS0336415913	57260	Oct 30, 2009	USD	130,000.00
XS0331400530	1 7	Oct 30, 2009	USD	50,000.00
XS0331504745	57262	Oct 30, 2009	USD	70,000.00
XS0336645089	57263	Oct 30, 2009	USD	30,000.00
XS0326608436	57264	Oct 30, 2009	USD	150,000.00
XS0336927909	57265	Oct 30, 2009	USD	100,000.00
XS0325786084	57266	Oct 30, 2009	USD	210,000.00
XS0331400027	57269	Oct 30, 2009	HKD	1,000,000.00
XS0327940929	57270	Oct 30, 2009	USD	50,000.00
	57332	Oct 30, 2009	AUD	70,000.00
XS0325841368	57333	Oct 30, 2009	USD	70,000.00
XS0339560376	57718	Oct 30, 2009	HKD	500,000.00
XS0326866307 XS0339560376	57719	Oct 30, 2009	HKD	500,000.00
XS0369799845	57721	Oct 30, 2009	HKD	200,000.00
XS0332153807	57722	Oct 30, 2009	USD	65,000.00
XS0326608436	57751	Oct 30, 2009	HKD	800,000.00
XS0336645089	57752	Oct 30, 2009	USD	80,000.00
XS0337553688	57753	Oct 30, 2009	USD	60,000.00
XS0341160082	57754	Oct 30, 2009	USD	30,000.00
XS0349512250	57755	Oct 30, 2009	USD	30,000.00
XS0331769454	60811	Nov 02, 2009	HKD	600,000.00
XS0334922860	60815 60836	Nov 02, 2009	USD	210,000.00
XS0348407411	60837	Nov 02, 2009	HKD	250,000.00
XS0336927909	60842	Nov 02, 2009	HKD	700,000.00
XS0331769454	60846	Nov 02, 2009	USD	150,000.00
XS0334922860	60852	Nov 02, 2009	USD	150,000.00
XS0335743398	60853	Nov 02, 2009	HKD	890,000.00
XS0349511872	60854	Nov 02, 2009	HKD	1,000,000.00
XS0326866307	60857	Nov 02, 2009 Nov 02, 2009	USD	500,000.00
XS0335743398	60914	Nov 02, 2009	HKD	600,000.00
XS0332153807	60915	Nov 02, 2009	HKD	250,000.00
XS0329337348	60916	Nov 02, 2009	HKD	600,000.00
XS0326866307	60917	Nov 02, 2009	HKD	1,200,000.00
XS0326608352	60918	Nov 02, 2009	HKD	650,000.00
XS0325786084	60919	Nov 02, 2009	HKD	890,000.00
XS0326026498	60920	Nov 02, 2009	HKD	1,080,000.00
XS0349511872	60921	Nov 02, 2009	USD	1,500,000.00
XS0339560293	60922	Nov 02, 2009	USD	130,000.00
XS0337553688	60923	Nov 02, 2009	USD	310,000.00
XS0336927909	60924	Nov 02, 2009	USD	60,000.00 70,000.00
XS0336645089	60925	Nov 02, 2009	USD	210,000.00
XS0336250229	60928	Nov 02, 2009	USD	190,000.00
XS0331769538	60929	Nov 02, 2009	USD	50,000.00
XS0331769454 XS0331400027	60930	Nov 02, 2009	USD	80,000.00
	60931	Nov 02, 2009	USD	50,000.00
XS0326608279 XS0325841368	60932	Nov 02, 2009	USD	150,000.00
XS0326865671	60933	Nov 02, 2009	USD	250,000.00
XS0328586515	61149	Nov 02, 2009	USD	320,000.00
XS0326866307	61150	Nov 02, 2009	USD	300,000.00
XS0326865671	61312	Nov 02, 2009	HKD	500,000,00
XS0320805677 XS0331400027	61319	Nov 02, 2009	USD	130,000.00
XS0326026498	62934	Nov 02, 2009	USD	70,000.00
= = च्याच तस्त्र	62935	Nov 02, 2009	HKD	400,000.00
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XS0331769538 XS0336645089 XS0326866307 XS0331400530 XS0326026498	62936 62937 64670 64857 67148	Nov 02, 2009 Nov 02, 2009 Nov 04, 2009 Nov 05, 2009 Oct 26, 2010	USD USD HKD USD HKD	40,000.00 190,000.00 600,000.00 130,000.00
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AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

- For value received, the adequacy and sufficiency of which are hereby acknowledged, THE ROYAL BANK OF SCOTLAND PLC ("Seller") hereby unconditionally and irrevocably sells, transfers and assigns to DEUTSCHE BANK AG, LONDON BRANCH (the "Purchaser"), and Purchaser hereby agrees to purchase, as of the date hereof, (a) an undivided interest, to the extent of the applicable percentage specified in Schedule 1 hereto, in Seller's right, title and interest in and to the Proof of Claim Numbers listed on Schedule 1 filed by or on behalf of Seller (a copy of which is attached at Schedule 2 hereto) (the "Proofs of Claim" and each a "Proof of Claim") as is specified in Schedule I hereto (the "Purchased Portion") against Lehman Brothers Holdings, Inc., as guarantor of the Purchased Security (as defined below) and debtor in proceedings for reorganization (the "Proceedings") in the United States Bankruptcy Court for the Southern District of New York (the "Court"), administered under Case No. 08-13555 (JMP) (the "Debtor"). (b) all rights and benefits of Seller relating to the Purchased Portion, including without limitation (i) any right to receive cash, securities, instruments, interest, damages, penalties, fees or other property, which may be paid or distributed with respect to the Purchased Portion or with respect to any of the documents, agreements, bills and/or other documents (whether now existing or hereafter arising) which evidence, create and/or give rise to or affect in any material way the Purchased Portion, whether under a plan or reorganization or liquidation, pursuant to a liquidation, or otherwise, (ii) any actions, claims (including, without limitation, "claims" as defined in Section 101(5) of Title 11 of the United States Code (the "Bankruptcy Code")), rights or lawsuits of any nature whatsoever, whether against the Debtor or any other party, arising out of or in connection with the Purchased Portion, (iii) any rights and benefits arising out of or in connection with any exhibit, attachment and/or supporting documentation relating to the Purchased Portion, and (iv) any and all of Seller's right, title and interest in, to and under the transfer agreements, if any, under which Seller or any prior seller acquired the rights underlying or constituting a part of the Purchased Portion, but only to the extent related to the Purchased Portion, (c) any and all proceeds of any of the foregoing (collectively, as described in clauses (a), (b), and (c), the "Transferred Claims"), and (d) the security or securities (any such security, a "Purchased Security") relating to the Purchased Portion and specified in Schedule 1 attached hereto. For the avoidance of doubt, Purchaser does not assume and shall not be responsible for any obligations or liabilities of the Seller to or in connection with the Transferred Claim, the Purchased Securities or the Proceedings.
- Seller hereby represents and warrants to Purchaser that: (a) the Proofs of Claim were duly and timely filed on or before 5:00 p.m. (prevailing Eastern Time) on November 2, 2009 in accordance with the Court's order setting the deadline for filing proofs of claim in respect of "Lehman Program Securities"; (b) the Proofs of Claim relates to one or more securities expressly identified on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009; (c) Seller owns and has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by Seller or against Seller; or, alternatively, Seller has been duly authorized to sell. transfer and assign the Transferred Claims by the owner of Transferred Claims who has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by such owner or against such owner; (d) Seller is duly authorized and empowered to execute and perform its obligations under this Agreement and Evidence of Transfer: (e) the Proofs of Claim includes the Purchased Portion specified in Schedule 1 attached hereto; (f) Seller has not engaged in, and, to the best of its knowledge, none of Seller's predecessors-in-interest (if any) in the past have engaged in, any acts, conduct or omissions, or had any relationship with the Debtor or its affiliates, that will result in Purchaser receiving in respect of the Transferred Claims proportionately less payments or distributions or less favorable treatment than other unsecured creditors; (g) a true and correct schedule of the 10th, 11th and 12th distributions paid to Seller by Lehman Brothers Holdings, Inc., appears attached hereto as Schedule 2; (h) to the best of its knowledge and based on its understanding of the Proceedings, the Transferred Claims are Class 5 Senior Third Party Guarantee Claims; and (i) a true and correct schedule of the 7th, 8th, 9th, and 10th distributions paid to Seller by Lehman Brothers Treasury Co. B.V. or its authorized agent(s), with respect to the securities relating to the Transferred Claims, appears attached hereto as Schedule 3.
- 3. Seller hereby waives any objection to the transfer of the Transferred Claims to Purchaser on the books and records of the Debtor and the Court, and hereby waives to the fullest extent permitted by law any notice or right to receive notice of a hearing pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Seller by

Purchaser for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claims. Purchaser agrees to file a notice of transfer with the Court pursuant to Federal Rule of Bankruptcy Procedure 3001(e) including this Agreement and Evidence of Transfer of Claim. Seller acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Seller transferring to Purchaser the Transferred Claims, recognizing Purchaser as the sole owner and holder of the Transferred Claims, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Purchaser.

- 4. All representations, warranties, covenants and indemnities shall survive the execution, delivery and performance of this Agreement and Evidence of Transfer of Claim and the transactions described herein. Purchaser shall be entitled to transfer its rights hereunder without any notice to or the consent of Seller. Seller hereby agrees to indemnify, defend and hold Purchaser, its successors and assigns and its officers, directors, employees, agents and controlling persons harmless from and against any and all losses, claims, damages, costs, expenses and liabilities, including, without limitation, reasonable attorneys' fees and expenses, which result from Seller's breach of its representations and warranties made herein.
- 5. Seller shall promptly (but in any event no later than three (3) business days) remit any payments, distributions or proceeds received by Seller in respect of the Transferred Claims to Purchaser. Seller has transferred, or shall transfer as soon as practicable after the date hereof, to Purchaser each Purchased Security to such account, via Euroclear or Clearstream (or similar transfer method), as Purchaser may designate in writing to Seller. This Agreement documentation or any applicable rules of Euroclear or Clearstream (or similar transfer method) with respect to the purchase and sale of the Purchased Security.
- 6. Each of Seller and Purchaser agrees to (a) execute and deliver, or cause to be executed and delivered, all such other and further agreements, documents and instruments and (b) take or cause to be taken all such other and further actions as the other party may reasonably request to effectuate the intent and purposes, and carry out the terms, of this Agreement and Evidence of Transfer, including, without limitation, cooperating to ensure the timely and accurate filing of any amendment to the Proofs of Claim.
- 7. Seller's and Purchaser's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with the laws of the State of New York (without regard to any conflicts of law provision that would require the application of the law of any other jurisdiction). Seller and Purchaser each submit to the jurisdiction of the courts located in the County of New York in the State of New York. Each party hereto consents to service of process by certified mail at its address listed on the signature page below, unless otherwise required by the international treaties governing the service of process to the parties.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this $\frac{JL}{day}$ day of $\frac{JUAE}{2017}$.

THE ROYAL BANK OF SCOTLAND PLC

Name: CHRIS ANDREWS

AUTHORISED SIGNATORY

DEUTSCHE BANK AG, LONDON BRANCH

Alex Darbyshire
Vice President

Name: Title:

By:

Name Title: Simon Glennie Director

Winchester House 1, Great Winchester Street London EC2N 2DB ENGLAND Attn: Michael Sutton

Transferred Claims

Purchased Portion

100% of the claims that are referenced in the Proofs of Claim (as highlighted in the copy of the Proofs of Claim attached at <u>Schedule 2</u>) and relating to the Purchased Securities described in the attached spreadsheet (plus all interest, costs and fees relating to this claim).

See attached spreadsheet, which describes:

- Description of Security Issued by Lehman Brothers Treasury BV ISIN / CUSIP
- Blocking Number
- issuer
- LBHI Claim Number Guarantor
- Principal / Notional Amount
 - Allowed Amount

Maturity 10th, 11th and 12th Distributions made by LBHI

Scheduk

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Scheduk

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Schedule 1

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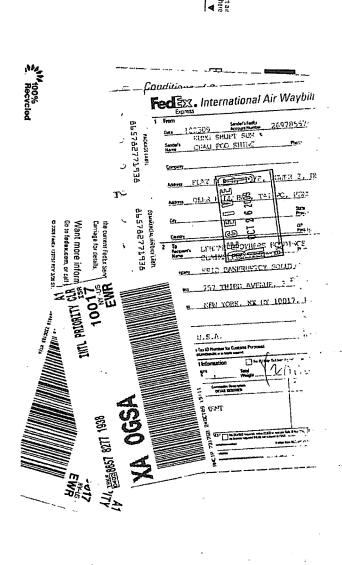
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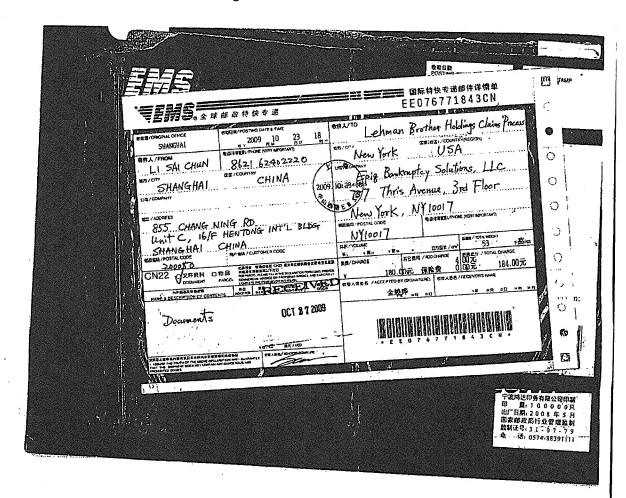
Schedule 2

Copies of LBHI Proofs of Claim

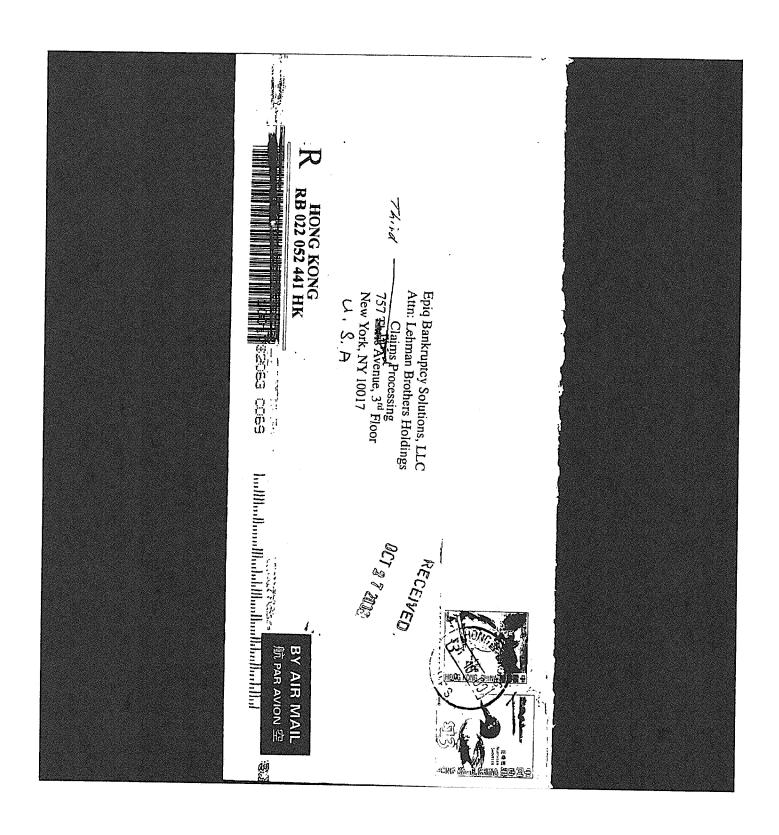
United States Bankruptcy Court/Southern District of New York	
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC LEHMAN SEG	CURITIES PROGRAMS OF OF CLAIM
Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP) Lehman Brothers	outhern District of New York hers Holdings Inc., Et Al. .13555 (JMP) 0000048667
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)	☐ Check this box to indicate that this
KUNG SHUET SUM & CHAU FOO SHING	claim amends a previously filed claim.
PLAT D & E, loff TOWER } DEER HILL TOWER, DEER HILL BAY THI PO. N.T. HONG KENG.	Court Claim Number:(If known)
Telephone number: 74 St. 400/ >719546 Email Address: CWKChau@hotmail.com Name and address where payment should be sent (if different from above)	7 Filed on:
Telephone number: Email Address:	☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on Septemband whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim a dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim related	ner 15, 2008 or acquired them thereafter, amount must be stated in United States more than one Lehman Programs Security, es.
determined.	asserts a claim in an amount to be
Amount of Claim: \$ (as statement above) (Required)	
 Check this box if the amount of claim includes interest or other charges in addition to the principal amount of Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for which this claim relates. 	lue on the Lehman Programs Securities. hich this claim relates. If you are filing or the Lehman Programs Securities to
International Securities Identification Number (ISIN): XS0326608279	(Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). It to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehclaim relates.	depository blocking reference number, You must acquire a Blocking
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or oth number: CA90352 (Required)	er depository blocking reference
(Kequiteu)	
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository par accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders shumbers.	or Lehman Programs Securities for which ticipant account number from your could not provide their personal account
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:	
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.	FOR COURT USE ONLY FILED / RECEIVED
Date. Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.	OCT 2 7 2009
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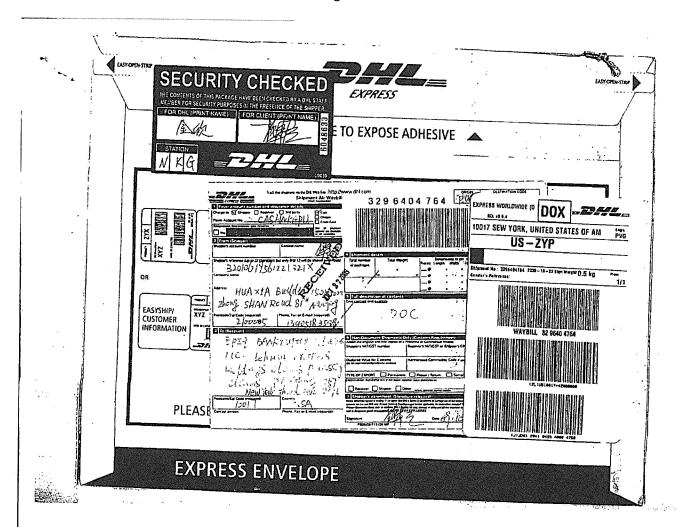
United States B	ankruptcy Court/Southe	rn District of New York		
c/o Epiq Bankru FDR Station, P. New York, NY			PROO	URITIES PROGRAMS OF OF CLAIM
In Re: Lehman Brother Debtors.	s Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		Southern District of New York thers Holdings Inc., Et Al13555 (JMP) 0000048744
based on Lehi http://www.le	man Programs Secur <u>hman-docket.com</u> as	of July 17, 2009		0000048744
Name and addre		e and address where notices should be s	ent if different from Creditor)	Check this box to indicate that this claim amends a previously filed claim.
		SHANGHAI CHINA		Court Claim Number:(If known)
Telephone numl	per: 8621-6472	4714 Email Address: SC. 110 1 be sent (if different from above)	@loftyman.com	Filed on:
			• •	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
Telephone numb	·	Email Address:		
Programs Securi and whether suc dollars, using the	ities as of September 15, h claim matured or becar e exchange rate as applic	ne fixed or liquidated before or after Se	Programs Securities on September 15, 2008. The claim a filing this claim with respect to re-	er 15, 2008 or acquired them thereafter, mount must be stated in United States
Creditor holds U determined.	JSD 200, 000 in agg	regate face value of the Lehman Progra	ms Security described herein, an	d asserts a claim in an amount to be
Amount of Clai	im: \$(as stat	ement above) (Require	ed)	
Check this I	oox if the amount of clair	n includes interest or other charges in a	ddition to the principal amount d	ue on the Lehman Programs Securities.
Provide the	c International Securities espect to more than one I	Identification Number (ISIN) for each ehman Programs Security, you may at	Lehman Programs Security to wi	nich this claim relates. If you are filing
International S	ecurities Identification	Number (ISIN): XS032858651	5	(Required)
as appropriate (e Number from yo	ach, a "Blocking Numbe ur accountholder (i.e. the	king Number, a Euroclear Bank Electro r") for each Lehman Programs Security bank, broker or other entity that holds rity, you may attach a schedule with the	for which you are filing a claim, such securities on your hehalf.	You must acquire a Blocking
Clearstream Ba number:	ank Blocking Number, I	Euroclear Bank Electronic Instruction	n Reference Number and or oth	ner depository blocking reference
	CA31931	(Required)		
you are filing thi	s claim. You must acquir	e the relevant Clearstream Bank, Euroc	lear Bank or other denository na	ur Lehman Programs Securities for which rticipant account number from your hould not provide their personal account
Accountholders		stream Bank or Other Depository Pa	rticipant Account Number:	
	89529	(Required)		FOR COURT USE ONLY
consent to, and a disclose your ide	re deemed to have autho	stream Bank or Other Depository: B rized, Euroclear Bank, Clearstream Ban man Programs Securities to the Debtor	k or other depository to	FILED / RECEIVED
Date.	Signature: The person	filing this claim must sign it. Sign and p	orint name and title, if any, of	ushw jestelets
The 23rd	the creditor or other per	son authorized to file this claim and state the notice address above. Attach copy	e address and telephone	OCT 2 7 2009
The 23rd October 2009	Meno	(LI SAI CHU	٧)	EPIQ BANKRUPTCY SOLUTIONS, LLC



United States Bankruptcy Court/Southern District of New York	
PROO New York, NY 10150-5076	URITIES PROGRAMS OF OF CLAIM
	outhern District of New York ers Holdings Inc., Et Al. 3555 (JMP)
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	0000049430 <u>'</u>
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) HO KWOK HUNG	Check this box to indicate that this claim amends a previously filed claim.
24G. PARK TOWERS ONE, NO. 1, KING'S RUAD NORTH POINT, HONG KONG. Telephone number: (852) 98189118 Email Address: Ufred & Meomo Com Name and address where payment should be sent (if different from above)	Court Claim Number:(If known)
Telephone number: (852) 98189118' Email Address: Ufied & Meomo . Com	Filed on:
Name and address where payment should be sent (if different from above) Telephone number: Email Address:	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be t Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim ard dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to m you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates	r 15, 2008 or acquired them thereafter, mount must be stated in United States
Creditor holds USD 170,000 in aggregate face value of the Lehman Programs Security described herein, and determined.	l asserts a claim in an amount to be
Amount of Claim: \$ (as statement above) (Required)	
 Check this box if the amount of claim includes interest or other charges in addition to the principal amount defect. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for which this claim relates. 	ich this claim mlater IC CI'
International Securities Identification Number (ISIN): XS0331769538	(Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other d as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehr claim relates.	cpository blocking reference number, You must acquire a Blocking
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or oth number: CA38221 (Required)	er depository blocking reference
(Acquire)	i de la companya de
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to you you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository part accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should be numbers.	isimus
accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders sh	isimus
accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders sh numbers.	icipant account number from your ould not provide their personal account
accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders sh numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:	isimus
Accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders sh numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required) 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of	icipant account number from your could not provide their personal account



P C	•
United States Bankruptcy Court Southern District of New York	
Lehman Brothers Holdings Claims Processing Center LEHMAN SEC	URITIES PROGRAMS
1 Co Enio Bankrintev Solutions, LLC	F OF CLAIM
New York, NY 10150-5076	
In Re: Chapter 11 Filed: USBC - Southern Distri	ct of New York
Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP) Debtors. Lehman Brothers Holdings (Jointly Administered) Lehman Brothers Holdings 08-13555 (JMP)	Inc., Et Al.
JULIAN Administracy	0000049467
Note: This form may not be used to file claims other than those	
based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	IIII ONLY
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) FAN LI	Check this box to indicate that this claim amends a previously filed claim.
7-1998 FERNDALE RO.	claim amends a previously med claim.
VICTORIA B.C. CANADA	Court Claim Number:
FAN LI 2-1998 FERNDALE RD. VICTORIA B.C. CANADA V8N 2Y6 Telephone number: +1-250-4831216 Email Address: fanlinj@gmail.com	(y Monn)
V8N 276 +1-250-483/216 Email Address: fanlinj@gmail.com	Filed on:
Name and address where payment should be sent (if different from above)	☐ Check this box if you are aware that
	anyone else has filed a proof of claim relating to your claim. Attach copy of
Telephone number: Email Address:	statement giving particulars
Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008, whether you owned the Lehman Programs Securities as a september 15, 2008, whether you owned the Lehman Programs Securities as a september 15, 2008, whether you owned the Lehman Programs Securities as a september 15, 2008, whether you owned the Lehman Programs Securities as a september 15, 2008, whether you owned the Lehman Programs Securities as a september 15, 2008, whether you owned the Lehman Programs Securities as a september 15, 2008, whether you owned the Lehman Programs Securities as a september 15, 2008, whether you owned the Lehman Programs Securities as a september 15, 2008, whether you owned the Lehman Programs Securities as a september 15, 2008, whether you owned the Lehman Programs Securities as a september 15, 2008, whether you owned the lehman Programs Securities as a september 15, 2008, which we will not september	T 15 2008 or acquired them thereafter
and whether such claim matured or became fixed or liquidated before or after Sentember 15, 2008. The claim at	mount must be stated in United States
dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to n you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates	nore than one Lehman Programs Security,
Creditor holds USD 200,000 in aggregate face value of the Lehman Programs Security described herein, and determined.	d asserts a claim in an amount to be
,	
Amount of Claim: \$ (as statement above) (Required)	
Check this box if the amount of claim includes interest or other charges in addition to the principal amount d	ue on the Lehman Programs Securities.
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to wh	ich this claim relates. If you are filing
this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs fo which this claim relates.	r the Lehman Programs Securities to
International Constitution Library Constitution and Constitution Library	
International Securities Identification Number (ISIN): XS0336250229	(Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other of as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim.	depository blocking reference number,
Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). I	f you are filing this alaim with somest
to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Leh claim relates.	uman Programs Security to which this
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or oth	ner depository blocking reference
CA46778 (Required)	
4. Provide the Clearstream Bank, Euroclear Bank or other denository participant account number related to you	Ir Jahman Broggoma Santista Carata
I you are ning this claim. You must acquire the relevant Clearstream Bank. Euroclear Bank or other denository par	tioinant account number from
accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders shoumbers.	rould not provide their personal account
	j
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:	:
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you	FOR COURT USE ONLY
consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of	
reconciling claims and distributions.	FALED RECEIVED
Date. Signature: The person filing this claim must sign it. Sign and print name and title, if any, of	
the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.	OCT 2 7 2009
(Mr. FAN, LI)	EPIQ BANKRUPTCY SOLUTIONS, LLC



United States	s Bankruptcy Court/Southe	ern District of New York		
Lehman Brot c/o Epiq Ban FDR Station,	hers Holdings Claims Proce kruptcy Solutions, LLC P.O. Box 5076 IY 10150-5076		PROC	URITIES PROGRAMS PROFICE TO THE STATE OF TH
In Re: Lehman Brot Debtors.	hers Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)	Filed: USBC - Southern Lehman Brothers Holdi 08-13555 (JM	M2 110-1
Note: This	form may not be used to chman Programs Secur	(Jointly Administered) of the claims other than those ities as listed on		
http://www	.lehman-docket.com as	of July 17, 2009	I'HIS SPACE I	S FOR COURT USE ONLY
Name and add	dress of Creditor: (and name ONG	e and address where notices should	be sent if different from Creditor)	Check this box to indicate that this claim amends a previously filed claim.
Room	No. 2402 No. 2	9 Lane 1520 HuaShan	Road Shanghai China 1879980@126.com	
Telephone nur	mber: 0086 -135017	73344 Email Address: x2	1879980@126.com	Filed on:
Telephone nur		be sent (if different from above) Email Address:		☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
and whether st dollars, using t	uch claim matured or became	in based on Lehman Programs Sec 2008, whether you owned the Lehm he fixed or liquidated before or after ble on September 15, 2008, If you	Some le 2000 mi	the amount owed under your Lehman or 15, 2008 or acquired them thereafter, mount must be stated in United States not than one Lehman Programs Security,
			grams Security described herein, and	
Amount of Cl	aim: S(as state	ement above) (Requ	uired)	
☑ Check this	s box if the amount of claim	includes interest or other charges i	n addition to the principal amount de	e on the Lehman Programs Securities.
			ch Lehman Programs Security to whe attach a schedule with the ISINs for	
	Securities Identification N			(Required)
Number from y to more than on claim relates.	our accountholder (i.e. the t ne Lehman Programs Securi	bank, broker or other entity that hol ty, you may attach a schedule with	ds such securities on your behalf). If the Blocking Numbers for each Lehr	you are filing this claim with respect nan Programs Security to which this
Clearstream B number:	ank Blocking Number, Eu	roclear Bank Electronic Instruct	ion Reference Number and or othe	er depository blocking reference
	CA31659	(Required)		
				Lehman Programs Securities for which icipant account number from your ould not provide their personal account
Accountholder	s Euroclear Bank, Clearst	ream Bank or Other Depository l	Participant Account Number:	
5. Consent to		(Required)		FOR COURT HER ONLY
disclose your ide	are deemed to have authoriz	ream Bank or Other Depository: ed, Euroclear Bank, Clearstream B. nan Programs Securities to the Debt	ank or other deposition.	FOR COURT USE ONLY
Date.	Signature: The person fili	ng this claim must sign it. Sign and	print name and title if any of	FILED RECEIVED
24/10/2009	ule cleditor or other persor	n authorized to file this claim and st ne notice address above. Attach cop	ate address and talanham	OCT 2 7 2009
' '	教和	ZHANG HONG		EPIQ BANKRUPTCY SOLUTIONS, LLC

Royal Preferred Banking



ABN AMRO Bank N.V.

ZHANG HONG NO 29 FLAT 2402 SHANGHAI HUA SHAN ROAD 1520 CHINA

Contact: JOANNA YIP (CTL)

聯絡人

Telephone: 22022123

電話號碼

Date: 12/12/07

日期

Contract Note - Structured Product Subscription 結構性產品認購交易單據

The details of transaction are as follows: 交易詳情如下:

Investment A/C No. 投資帳戶號碼

Product Name 產品名称

Product / ISIN Code 產品/國際證券號碼

Issuer 發行商

Underlying 相關資產

Coupon Rate (%) 票面息率

Trade Date 交易日 Issue Date 發行日 Maturity Date 到期日 Nominal Amount 面額

Purchase Price (%) 購入價百分比 Purchased Amoun 購入金額

Commission 佣金

Total Settlement Amount 總結算金額 Settlement A/C No. 結算帳戶號碼

Settlement Date 結算日

9806484

: LB 2Y USD Range Accrual Note S959 29DEC09

: 07PLE959QU

LEHMAN BROTHERS TREASURY CO BV

: CNOOC LTD

PING AN INSURANCE CO - H SHS

CHINA MERCHANTS BANK CO LTD-H

; Please refer to the Term Sheet (其細節載於條件單) : 12/12/07

: 28/12/07 : 29/12/09

: USD 180,000,00

: 100.00

: USD 180,000.00

: USD 900.00

: USD 180,900.00

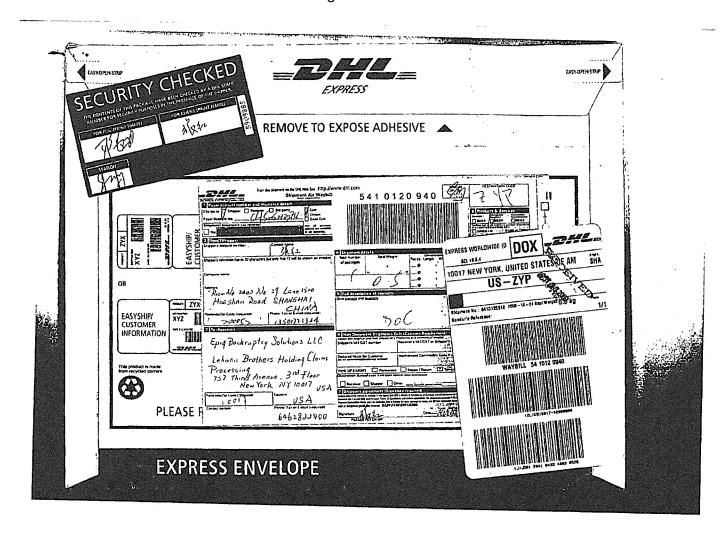
: 0101215095 : 28/12/07

Romarks

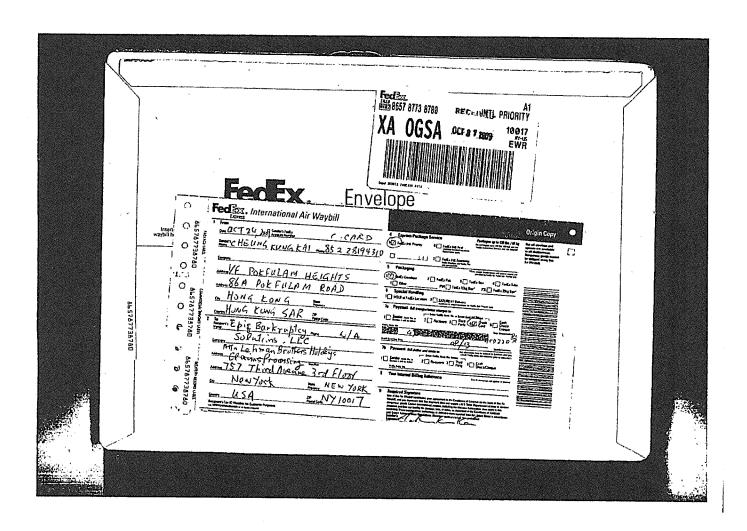
- ABN AMRO N.V.* (the "Bank") is acting as principal in the above-mentioned transaction
- This Contract Note should be read in conjunction with the product termsheet of the Structured Product (031):
- · 荷蘭银行(下榜「本銀行」)房上遊交易之主事人。
- · 此確認每必須與產品條款單强一併閱讀 •



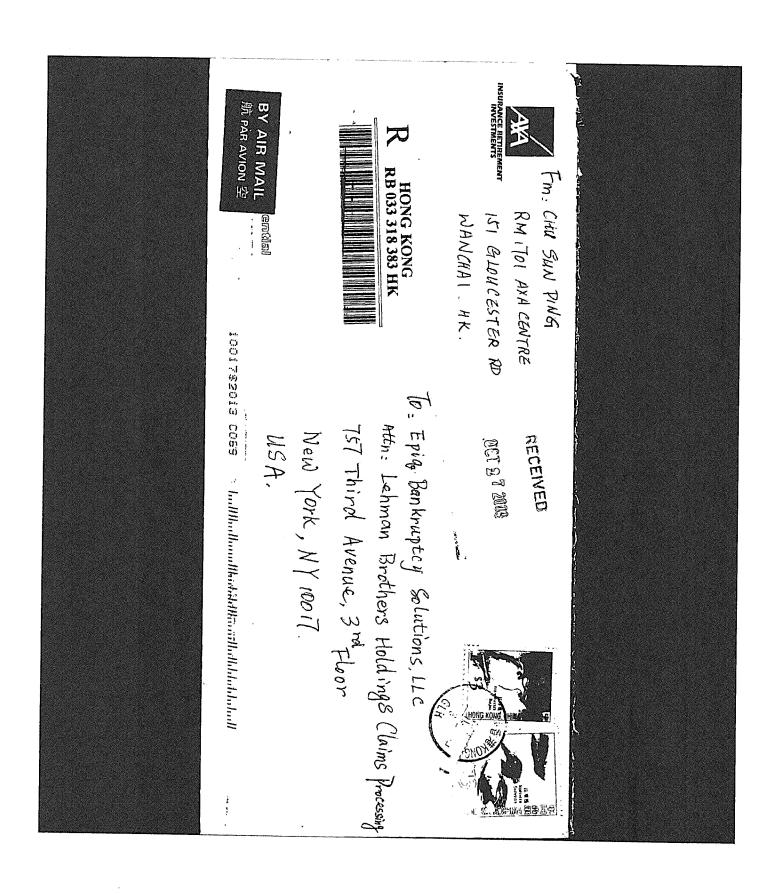
This is computer-generated document, no signature is required. 此乃電腦系統所發之文件,毋須簽署。



United States	Bankruptcy Court/Souther	rn District of New York		
c/o Epiq Bankr FDR Station, P New York, NY			PROC	URITIES PROGRAMS OF OF CLAIM
In Re: Lehman Brothe Debtors.	ers Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Brott	outhern District of New York ners Holdings Inc., Et Al. 13555 (JMP) 0000049525
Note: This fo	rm may not be used to nnan Programs Securi chman-docket.com as	o file claims other than those ties as listed on		
Name and addr CHEUNG KUNG		and address where notices should be se	nt if different from Creditor)	Check this box to indicate that this claim amends a previously filed claim.
Telephone num	F POKFULA 86A POKFU 1001: 852-28194	M HEIGHTS LAMRD HONGKOM 1310 Email Address:	16	Court Claim Number: (If known) Filed on:
		be sent (if different from above)		☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
Telephone num	ber:	Email Address:		Bring parteums
Programs Secu and whether su dollars, using the you may attach	rities as of September 15, 2 ch claim matured or becan te exchange rate as applica a schedule with the claim	amounts for each Lehman Programs Se	rograms Securities on September tember 15, 2008. The claim a filing this claim with respect to a curity to which this claim relates	er 15, 2008 or acquired them thereafter, mount must be stated in United States nore than one Lehman Programs Security, s.
Creditor holds l	HKD 650, 000 (equivalent Programs Security describ	to USD 83, 436. 02 at the conversion reed herein, and asserts a claim in an amount	ate of USD/HKD 7.7904 as at Sount to be determined.	eptember 15, 2008) in aggregate face value
Amount of Cla	im: \$(as state	ement above) (Require	d)	
☑ Check this	box if the amount of clain	includes interest or other charges in ad	dition to the principal amount d	ue on the Lehman Programs Securities
2. Provide th	e International Securities respect to more than one L	dentification Number (ISIN) for each L chman Programs Security, you may atta	chman Programs Security to wh	nich this claim relates. If you are filing
International S	ecurities Identification N	iumber (ISIN): XS03260	26498	(Required)
as appropriate (Number from y	each, a "Blocking Number our accountholder (i.e. the	ing Number, a Euroclear Bank Electron ") for each Lehman Programs Security to bank, broker or other entity that holds s ity, you may attach a schedule with the	for which you are filing a claim, uch securities on your behalf). I	You must acquire a Blocking f you are filing this claim with respect
Clearstream B number:	ank Blocking Number, E	uroclear Bank Electronic Instruction	Reference Number and or oth	er depository blocking reference
you are filing th	e Clearstream Bank, Euro is claim. You must acquire	the relevant Clearstream Bank, Eurock	ear Bank or other depository par	ar Lehman Programs Securities for which ticipant account number from your rould not provide their personal account
Accountholder	s Euroclear Bank, Clears 89529	tream Bank or Other Depository Par	ticipant Account Number:	
consent to, and a disclose your id	are deemed to have author entity and holdings of Leh ns and distributions.	stream Bank or Other Depository: By ized, Euroclear Bank, Clearstream Bank man Programs Securities to the Debtors	for the purpose of	FILED / RECEIVED
Date. 24/10/2009	the creditor or other pers	ling this claim must sign it. Sign and pron authorized to file this claim and state the notice address above. Attach copy of	address and telephone	OCT 2 7 2009
11. 1200]	Robert	T CHEUNG KUNG	KAI	EPIQ BANKRUPTCY SOLUTIONS, LLC



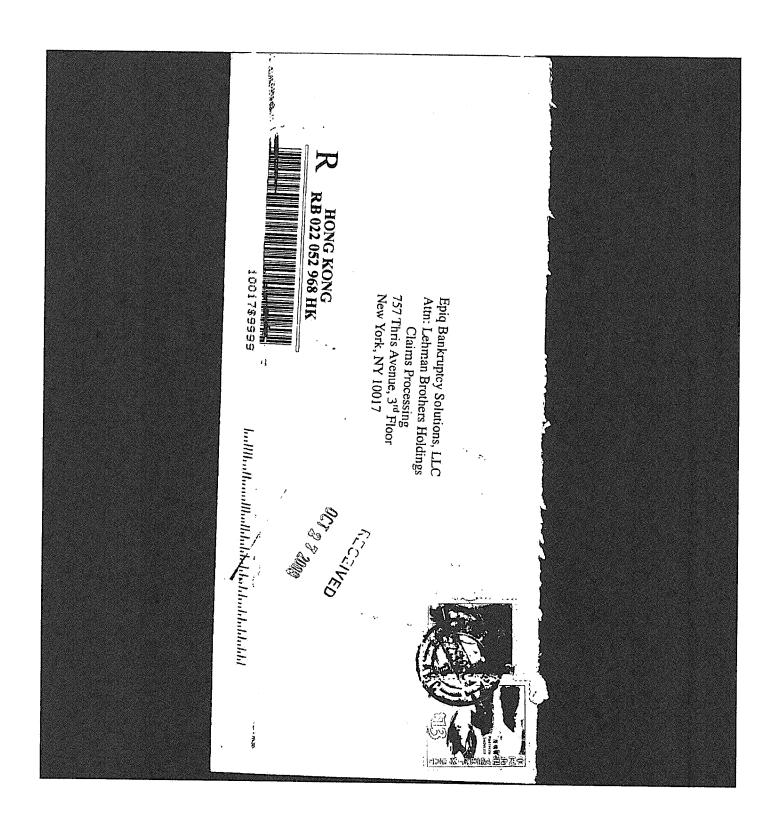
United States Bankruptcy Court/Southern District of New York	
Lehman Brothers Holdings Claims Processing Center o'o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM
In Re: Chapter 11	Filed: USBC - Southern District of New York
Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP)	Lehman Brothers Holdings Inc., Et Al. 08-1355 (JMP) 0000049575
Debtors. (Jointly Administered)	08-13555 (JMP) 0000049575
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	orace is for court use only
The state of the s	
Name and address of Creditor: (and name and address where notices should be so CHU SUN PING FLAT 20 (%) BLKA) (COURT 41 STUBBS RD H	sent if different from Creditor) Check this box to indicate that this claim amends a previously filed claim.
COURT 41 STUBBS RD H	Court Claim Number: (If known)
Telephone number: 9487468 Email Address: Name and address where payment should be sent (if different from above)	Filed on:
Name and address where payment should be sent (if different from above)	☐ Check this box if you are aware that
FLAT QO 19/F, BLKA BELLV Telephone number: 94887468 Email Address: 41 STU	WHE COURT anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
Telephone number: 7 488 14 68 Email Address: 47 31 a	USIS RD PITK
1. Provide the total amount of your claim based on Lehman Programs Securit Programs Securities as of September 15, 2008, whether you owned the Lehman and whether such claim matured or became fixed or liquidated before or after Se dollars, using the exchange rate as applicable on September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities of the Lehman Programs Securities as of September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities as of September 15, 2008 and securities as of September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities as of September 15, 2008 and securities as of September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities as of September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities as of September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities and the securities as of September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities as of September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities as of September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs Securities as of September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Program Securities as of September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Program Securities as of September 15, 2008. If you are you was a schedule with the claim amounts for each Lehman Program Securities as of Securitie	Programs Securities on September 15, 2008 or acquired them thereafter, eptember 15, 2008. The claim amount must be stated in United States filling this claim with regrets to more the case I when I have been a second to the control of the control
determined.	
Amount of Claim: \$ (as statement above) (Require	ed)
Check this box if the amount of claim includes interest or other charges in a	iddition to the principal amount due on the Lehman Programs Securities
Provide the International Securities Identification Number (ISIN) for each I this claim with respect to more than one Lehman Programs Security, you may att which this claim relates.	Lehman Programs Congress to subjet this string to the
International Securities Identification Number (ISIN): XS032602622	(Required)
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electron as appropriate (each, a "Blocking Number") for each Lehman Programs Security Number from your accountholder (i.e. the bank, broker or other entity that holds to more than one Lehman Programs Security, you may attach a schedule with the claim relates.	nic Reference Number, or other depository blocking reference number, for which you are filing a claim. You must acquire a Blocking such securities on your behalf). If you are filing this claim with respect e Blocking Numbers for each Lehman Programs Security to which this
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction number: CA37808 (Required)	n Reference Number and or other depository blocking reference
4. Provide the Clearstream Bank, Euroclear Bank or other depository participa you are filing this claim. You must acquire the relevant Clearstream Bank, Eurocla accountholder (i.e. the bank, broker or other entity that holds such securities on younders.	1921 Hank or other denoctions modificant and the contract of t
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Par	rticipant Account Number:
 Consent to Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank disclose your identity and holdings of Lehman Programs Securities to the Debtors reconciling claims and distributions. 	k or other depository to s for the purpose of FILED / RECEIVED
Date. Signature: The person filing this claim must sign it. Sign and printed the creditor or other person authorized to file this claim and state number if different from the notice address above. Attach copy of	e address and telephone of power of attorney, if any.
21-10-09	EPIO BANKRUPTCY SOLUTIONS, LLC



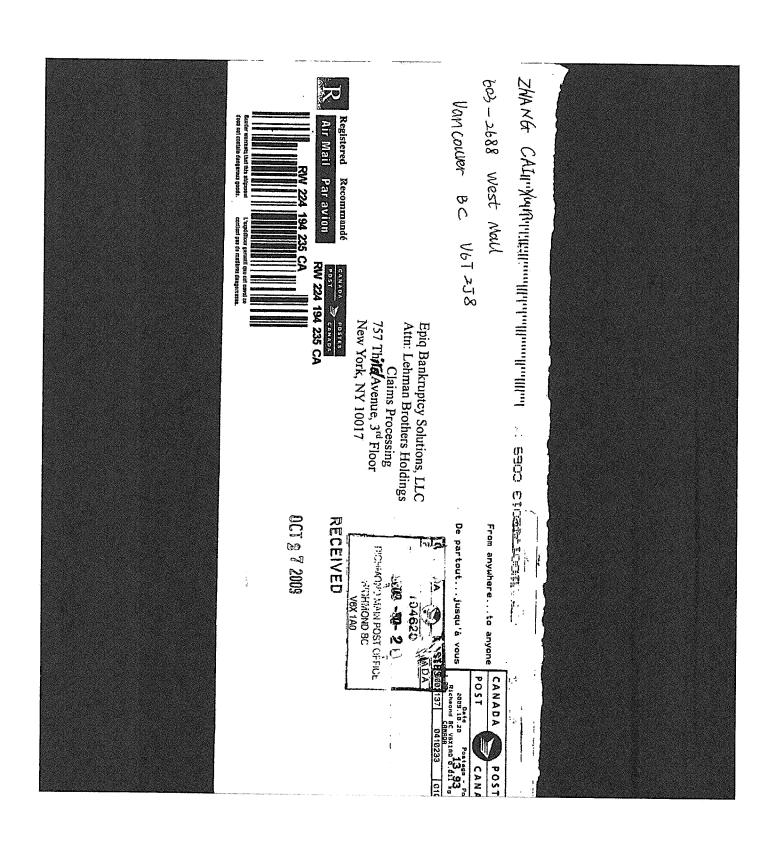
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United States I	Bankruptcy Court/Southe	rn District of New York		
Lehman Brothe c/o Epiq Bankr FDR Station, P New York, NY	ers Holdings Claims Proce uptey Solutions, LLC .O. Box 5076	essing Center		URITIES PROGRAMS OF OF CLAIM
In Re: Lehman Brothe Debtors.	ers Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Brothers	em District of New York Holdings Inc., Et Al. 55 (JMP) 0000049580
based on Leb	rm may not be used to iman Programs Secur <u>chman-docket.com</u> as			Y
Name and addr	ess of Creditor: (and name	e and address where notices should be se	ant if different from Creditor)	☐ Check this box to indicate that this
YEE SUK FL	JN HELENA	•		claim amends a previously filed claim.
	,			Court Claim Number:(If known)
Telephone num	ber:	Email Address:		Filed on:
Flat A 3/F No. 5 Ho H	BIKB Mountain a Nan Tin Hill Rowd,	Kin .		☐ Check this box if you are aware that anyone clse has filed a proof of claim relating to your claim. Attach copy of statement giving particulars
Telephone num	ber: 6303-1694	Email Address: h20 yes	a hotnail.com	8, -, 0,
Programs Secur and whether sud dollars, using the you may attach	rities as of September 15, ch claim matured or becar ne exchange rate as applic a schedule with the claim	amounts for each Lehman Programs Se	tograms Securities on Septembotember 15, 2008. The claim a iling this claim with respect to recurity to which this claim relate	er 15, 2008 or acquired them thereafter, mount must be stated in United States nore than one Lehman Programs Security, 5.
determined.		regate face value of the Lehman Program		d asserts a claim in an amount to be
Amount of Cla	im: \$(as stat	ement above) (Require	d)	
☑ Check this	box if the amount of clair	n includes interest or other charges in ad	dition to the principal amount d	ue on the Lehman Programs Securities.
Provide th	e International Securities respect to more than one I	Identification Number (ISIN) for each L ehman Programs Security, you may att	ehman Programs Security to wi	ich this claim relates. If you are filing
International S	ecurities Identification l	Number (ISIN):XS0331769538	,	(Required)
as appropriate (on Number from you to more than on claim relates. Clearstream Both	each, a "Blocking Numbe our accountholder (i.e. the e Lehman Programs Secu	king Number, a Euroclear Bank Electron ") for each Lehman Programs Security bank, broker or other entity that holds s rity, you may attach a schedule with the Curoclear Bank Electronic Instruction	for which you are filing a claim. uch securities on your behalf). I Blocking Numbers for each Leb	You must acquire a Blocking f you are filing this claim with respect man Programs Security to which this
number:	CA38073	(Required)		
you are filing th	e Clearstream Bank, Euro is claim. You must acquir		ear Bank or other depository par	r Lehman Programs Securities for which ticipant account number from your sould not provide their personal account
Accountholder	s Euroclear Bank, Clear 89529	stream Bank or Other Depository Par	ticipant Account Number:	
5. Consent to		stream Bank or Other Depository: By	filing this claim was	FOR COURT USE ONLY
consent to, and a disclose your ide reconciling clair	are deemed to have author entity and holdings of Leb ns and distributions.	rized, Euroclear Bank, Clearstream Bank iman Programs Securities to the Debtors	for other depository to for the purpose of	FILED / RECEIVED
Patc.	the creditor or other pers	iling this claim must sign it. Sign and pr son authorized to file this claim and state the notice address above. Attach copy o	address and telephone	OCT 2 7 2009
· ·		A		EPIC BANKRUPTCY SOLUTIONS, LLC

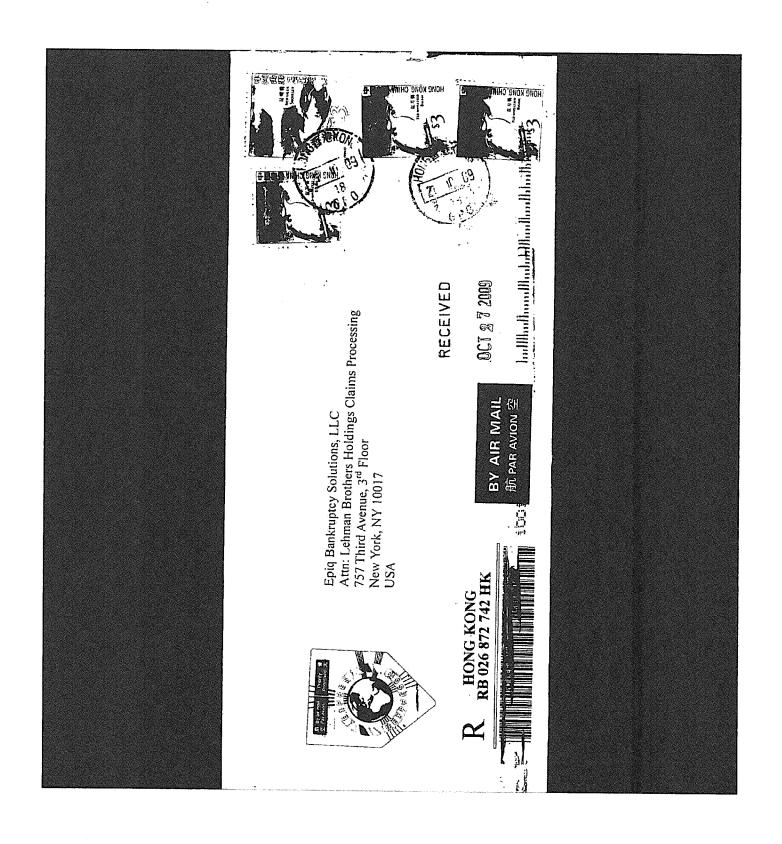
		4:
Security Post Release		
Trade Details		
Type of Settlement Transaction	Securities Blocking	
Pool Reference		
Function of Message	New Message	
Instruction Type	Receive Free	
Activity-Status	Settlement-Settled	
ISIN Code	XS0331769538	
Common Code	033176953	
Financial Instrument Description	USD FL.R LEHMAN BROS.TREAS 07-2009	
Place of Safekeeping	IRVTGB2XGPT	
Common Code Type	Single	
Reason-Narrative	Single	
Sender's Reference	MONDEC	
Last Update	NONREF	
Previous Reference	10/8/09 9:38 AM	
Deal Reference		
Common Reference		
Safekeeping Account		_
Quantity of Financial Instrument	89529 - ABN AMRO (HK) NOMCONS. BKING CLT	
Quantity Type	100,000	
	Face Amount	
Processing Indicator		
Requested Settlement Date	10/8/09	
Trade Date/Time	10/8/09	
Closing Date		
Priority	Normal Priority	
Settlement Amount		
Currency		· · · · · · · · · · · · · · · · · · ·
Suaranteed Delivery	No	
Deal Price		
Deal Price Currency		····
Deal Price Format		
Caxable Income Per Share		
axable Income Per Share Currency		
axable Income Per Share Format		
X Currency		
Delivery Without Matching	Yes	
)eal		
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Common		
ransaction Indicator	Settlement Activity	
ctual Settled Amount		
xp./Eff. Settlement Date	10/8/09	
хр./Eff. Value Date		
est Matching Instruction		
ettlement Parties		
learstream Depository	[6B] BKNYMELLON, LDN	
IC Address	IRVTGB2XGPT	
lace of Settlement	CEDELULLXXX - Clears tream	
hysical Transaction	No	
mediate Release	No	
elivering Agent	Clearstream	
arty	89529	
escription	UNPUBLISHED	
ettlement parties :	THE SECURED	
aceiving Agent	Clearstream 80520	
ettlement Processing Narrative	Clearstream - 89529	
ditional Information	CONSBLOK 000033176953 OCE CONSENT YOUR INX	
put Media		



United States	Bankruptcy Court/South	ern District of New York		
Lehman Brot	hers Holdings Claims Proc	essing Center	LEHMANSEO	CURITIES PROGRAMS
	cruptcy Solutions, LLC P.O. Box 5076			OF OF CLAIM
New York, N	Y 10150-5076			
In Re: Lehman Brot	ners Holdings Inc., et al.,	Chapter 1 1 Case No. 08-13555 (JMP)		Southern District of New York
Debtors.	6	(Jointly Administered)		others Holdings Inc., Et Al. 3-13555 (JMP) 0000049965
Note: Wite	inenumum and barrach	o file claims other than those		H 1 1 E
based on La	hman Programs Secur	ities as listed on		Commence of the commence of th
http://www	<u>lehman-docket.com</u> as	of July 17, 2009	011 1 1 678 B 21 ()	rifers anamaisz a real was
Name and add	lress of Creditor: (and nam	e and address where notices should be s	ent if different from Creditor)	☐ Check this box to indicate that this
ZHANG CA			_	claim amends a previously filed claim.
6	03-2688 West	Mall, Vancouver. B	c Postcode:	Court Claim Number:
			V6T 2J8	(If known)
Telephone nu	mber:/agly /gl/ = 900	and product of the		Filed on:
Name and add	ress where payment should	Bor 9 Email Address: tereso the sent (if different from above)	Lyun (by 163. com	☐ Check this box if you are aware that
				anyone else has filed a proof of claim
				relating to your claim. Attach copy of statement giving particulars
Telephone nur		Email Address:		
1. Provide	the total amount of your cla	aim based on Lehman Programs Securiti	es. Your claim amount must be	the amount owed under your Lehman
and whether s	ich claim matured or becar	2008, whether you owned the Lehman F ne fixed or liquidated before or after Ser	rograms Securities on Septemb	per 15, 2008 or acquired them thereafter,
uonais, usuigi	ne exchange rate as applic	able on September 15, 200x. It vou are t	thing this claim with receipt to	more them and I have D
you may attack	a schedule with the claim	amounts for each Lehman Programs Se	curity to which this claim relate	25.
Creditor holds determined.	USD 150,000 in agg	regate face value of the Lehman Program	ns Security described herein, ar	nd asserts a claim in an amount to be
Amount of Cl	aim: \$ (as stat	ement above) (Require	d)	
		, ,	•	
2. Provide t	box if the amount of clair	n includes interest or other charges in ad Identification Number (ISIN) for each L	dition to the principal amount of	due on the Lehman Programs Securities.
una cianni wini	respect to more than one t	ehman Programs Security, you may atta	enman Programs Security to with a schedule with the ISINs fo	hich this claim relates. If you are filing or the Lehman Programs Securities to
which this clair	n relates.			Tograms becarities to
International	Securities Identification !	Number (ISIN): XS0331769454		(Required)
3. Provide t	he Clearstream Bank Block	cing Number, a Euroclear Bank Electron	ic Reference Number, or other	dan
as appropriate	cacii, a Diocking lyumoe	T 10f each Lehman Programs Security (or which you are filing a claim	V
to more than or	ne Lehman Programs Secur	bank, broker or other entity that holds s rity, you may attach a schedule with the	uch securities on your behalf). Blocking Numbers for each Lei	If you are filing this claim with respect
claim relates.				animal rogimins security to which this
Clearstream B	ank Blocking Number, E	uroclear Bank Electronic Instruction	Reference Number and or of	tor depository blasking as Carrier
number:			Transfer and of Other	ner depository biocking reference
4 5	CA31655	(Required)		
Provide the you are filing the	ie Clearstream Bank, Euro iis claim. You must acquir	clear Bank or other depository participar e the relevant Clearstream Bank, Eurocle	at account number related to you	ur Lehman Programs Securities for which
accountationari (i.e. the bank, broker or oth	er entity that holds such securities on yo	ur behalf). Beneficial holders si	hould not provide their personal account
numbers.				
Accountholder	s Euroclear Bank, Clears	stream Bank or Other Depository Par	ticipant Account Number:	
	89529	(Required)		
5. Consent t	o Euroclear Bank, Clear	stream Bank or Other Depository: By	filing this claim, you	FOR COURT USE ONLY
consent to, and	are deemed to have author	ized, Euroclear Bank, Clearstream Bank	or other denository to	FILED RECEIVED
reconciling clai	ms and distributions.	man Programs Securities to the Debtors	for the purpose of	Piber I HE WALKER
Date.	Signature: The person fi	ling this claim must sign it. Sign and pri	nt name and title, if any, of	OCT 2 7 2009
19/10/09	the creditor or other perse	on authorized to file this claim and state the notice address above. Attach copy of	address and telephone	001212003
· 11 · - [*]		address doore. Attach copy ()	power or anorney, it any.	EPIQ BANKRUPTCY SOLUTIONS, LLC
			4	ELIN DWAWING FOL ORFACIOUS PER
	teresa	ZHANG CALY	• .	· ·



United States Bankruptcy Court/Southern 1	District of New York		
Lehman Brothers Holdings Claims Processin	g Center	LEHMANSEC	URITIES PROGRAMS
c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076			OF OF CLAIM
New York, NY 10150-5076		TRUE	OF OF CLAIM
In Re: CI	napter I I	Filed: USBC -	Southern District of New York
	ise No. 08-13555 (JMP)		
Debtors. (Jo	ointly Administered)	III i impress	8-13555 (JMP) 0000049966
Note: This form may not be used to fil	e claims other than those		
based on Lehman Programs Securities	as listed on		
http://www.lehman-docket.com as of J	uly 17, 2009	inio oriecta	at at a file
Name and address of Creditor: (and name and	address where notices should be	sent if different from Creditor)	☐ Check this box to indicate that this
KUAN KATHERINE SEU HAR	•		☐ Check this box to indicate that this claim amends a previously filed claim.
FLAT A, 18/F, YICK KIN	6 RULDING NO. 2	AULINI FAT DOAD	
			Court Claim Number:
Telephone number: 852 - 95 358	A 30 Email Address: Lall	0 1 1	(If known)
852-13338	7 20 chair Address: KOON K	van Gnetvigator.com	Filed on:
Name and address where payment should be	sent (if different from above)	U	☐ Check this box if you are aware that
		•	anyone else has filed a proof of claim
			relating to your claim. Attach copy of statement giving particulars
Telephone number:	Email Address:		
1. Provide the total amount of your claim be	ased on Lehman Programs Securit	ies. Your claim amount must be t	he amount owed under your Lehman
i i togranis securities as di september 15, 2006	s. whether von owned the Lehman i	Programs Sequestion on Contamba	-15 2000
and whether such claim matured or became fi dollars, using the exchange rate as applicable	un acdicinder ia. Zinix, ir von are	filling this claim with recess to m	tors there are I alternated by the second
you may attach a schedule with the claim amo	unts for each Lehman Programs Se	curity to which this claim relates	tore than one Lenman Programs Security,
			ł
determined.	e face value of the Lehman Program	ns Security described herein, and	asserts a claim in an amount to be
,			
Amount of Claim: \$ (as statement	nt above) (Require	ed)	
☐ Check this box if the amount of claim inc	ludes interest or other charges in a	Addition as at a set of the second	
2. Provide the international Securities Iden	hitication Number (ISIN) for each I	ehman Programa Convinces	interest in the second second
and claim was respect to more than one Lenn	an Programs Security, you may att	ach a schedule with the ISINs for	the Lehman Programs Securities to
which this claim relates.			3.3.3.5
International Securities Identification Num	ber (ISIN): XS03258	841368	(Panuland)
			(Required)
as appropriate (each, a "Blocking Number") fr			
Transcer from your accommunity (i.e. tile part	K. DIUKET OF GINET ENTITY INST HAIDS O	Such cecurities on your babale. If	and an experience of the second secon
to more than one Lehman Programs Security,	ou may attach a schedule with the	Blocking Numbers for each Lehr	nan Programs Security to which this
ordina (dialog.			
Clearstream Bank Blocking Number, Euroc	lear Bank Electronic Instruction	Reference Number and or other	er denository blocking reference
			as pository blocking reference
CA46091	(Required)		
4. Provide the Clearstream Bank, Euroclear	Bank or other depository participa	nt account number related to your	Lehman Programs Securities for which
Joe are tribig and claust, I on mast acquire ale	reievant Cicarsticam Bank Burnet	Par Hank or other descrites, see	iniman and a contract to the c
accountholder (i.e. the bank, broker or other en numbers.	try that holds such securities on yo	our benait). Beneficial holders sho	ould not provide their personal account
Assessed at the second second			
Accountholders Euroclear Bank, Clearstrea	m Bank or Other Depository Par	ticipant Account Number:	1
89529	(Required)		
5. Consent to Euroclear Bank, Clearstrea	m Bank or Other Depository: By	filing this claim, you	FOR COURT USE ONLY
consent to, and are deemed to have authorized,	Euroclear Bank, Clearstream Bank	or other depository to	
disclose your identity and holdings of Lehman reconciling claims and distributions.	riograms Securities to the Debtors	for the purpose of	FILED / RECEIVED
Date. Signature: The person filing.	this claim must sign it. Sign and pr	int name and title if any of	· into / HEGELARY
the creditor or other person at	unorized to file this claim and state	address and telephone	OCT OF BOOD
number if different from the	otice address above. Attach copy o	f power of attorney, if any.	OCT 2 7 2009
I Wh	/ Maria 1		EPIO BANKRUPTCY SOLUTIONS, LLC
	KUAN, I	CATHERINE SEU HAR	



Schedule 3

Schedule of 7th, 8th, 9th, and 10th Distributions Received From Lehman Brothers Treasury BV

Danning Co. and de.				1											
Company of the compan	ISIDAL	Issuer	Principal/Notional		Admissible Amount Issue Notional	Issue Notional	Maturity		LBT 10sh	LBT 94h		LBT 3th Distribution LBT 7th	ibution [1]	T7tb	
			Amount					Dis	Distribution	Distribution	ion		ä	Distribution	
LB 2Y AUD Range Acertal Note State 05NOV09	NS0327940929	Lehman Brothers Treasury Co BV	MUD	A 00 000 07 1	AUD 663 755 35 AUD	AUD 2316 004 00	90 05NDV00	t	10 201 73	23.15	904.26	4535	7 732 40 41		6 040 00
LB2Y HKD Range Accord Note 5776 220CT 09	NS0325786084	Lehman Brothers Treasur, Co BV	HKD	4.246,000 GG H	-	r				9 1					200
LB 2Y HKD Arring Range Accrual Note 5779 23OCT 09	NS0326026498		-	~	-	9	_		•						82 626.64
LB 2Y HKD Arring Range Actual Note ST09 270CT09	XS:126.68342	f chman Brothere Treasure			•		_	-	_	2					51,604.35
LB 2V BKD Rance Acenel Note S706 270 CT 50	VG0236966207	t about Desthers Towns	•		<u> </u>		_	=	_	1 1 1 1		IKD GA	DALL TO EST. ET	_	63,878,36
LB2Y HKD Rance Accord Note 5817 62NOV00	VG137765030	Longin Brother Trees, Co						<u>9</u> ≥		<u> </u>	1011,170 70	HKD 57	57 337 45 HKD		19,182,38
FRAVIETI Sieben Brain Account Notes St. 10 (mix.) v. 10	Section 1997	Comman Bruings 1625Gr Co			•		00. 02NOV89	E HKD	31,773.34	HKD	41 730 95	HKD 23	23.650 55 HKD	_	06 161 60
TO THE HOLD AND DESCRIPTION OF THE PARTY OF	NAC 263 CASA	L'chman Brothers i reaster, Co	_	_		HKD 22,070,1001 00	DO RYNOVAY	9 <u>11</u> 2	20 021 05	3	11 565 19	HKD 155	15,080 t.7 HIKD		23,970.33
60 VONDE THE STORE VICINITY OF THE STATE OF	NS:32132807	Lehman Brothers Treasury Co.			HKD 802.157.78	HKD 11,210,600 00	OH MONOVAN	HKD %	80 888 68	HKD	76.023.35			_	77 777 77
LB 21 IND Range Accrea Note Selfs 14DEC09	XS/334/12860	Lehman Brathers Treasury Co.	_		HKD 979,670.33	HKD 21.86n,000 00	00 14DEC00	_		HED	9F C67 101		-		91. SCT. DE
LB 13 HKD Range Acertal Note St47 21 DECits	NS0335743358	Lehman Braihers Treasury, Co.		3,630,000 00 HI	HKD 724,123-67	HKD 20,060,000 00			-	GX					20,777.00
LB 27 HKD Range Accrual Note Sitts 18JAN10			HKD 7	7,350,000.00 111	11KD 44% 480 25						-				
LB IV HKD Daily Callable Fixed Compon Note S074 02MAR09	_		HKD 13	8.850,000.00											10, 17, 240 CS 1772 OK
LB 15 HKD Dark Callable Fixed Coupon Note \$178 05M, 1R09			IIKD 13	3.570,000,000 111	HKD 1.00% 836 F2	TECH THE STOREGO	_	_	10111				_		2 3
LB 2Y USD Range Acertal Note S778 220CT09	XS0125841368		08.1		_				12 1000	2 6					11,273 441
UB 1Y USD Range Acertal Note S787 230CT(0)	XS(126026225	Lehman Brothers Treasury Co			•		_			3 9	-		_		70.750.7
LB 2Y USD Range Acertal Note S797 270CT 09	XS0326608239	Lehman Brathers Treasury Co.		_	-		_	_	H. N. 174 / 3	3					3,475 7,1
LB 27 USD Airhae Range Accord Note S006 2700 Tag	Veitzberogate	I ohman Brothan Transmit			-		_		13.56.9 73	8		_	(0.132 07 USD		6,927.4%
18 3V 18D Range Acrimi Note C708 2708/1760	VC0236.769.00	Commen minutes (reasons to by		_		USD 1,554,040 00	_	5	4,782.77	S	6,303,67	USD 3:	3,571,14 USD		174 177
I B 3V 1973 Dames Accred Nove South 2000-150	A202201049	Leaming Brouncis Ireasiny to By	_			USD 1,81d,000 00	00 27OCT09	80	7,224 78	GS)	0.528 81	CSD 2.	5.348 23 USD		3,690 18
Day City Day Annual Annual Man against and annual Man	1/00ggarane	I chiman Brathers I reason to By	OS C	_	1	USD 1.22d.mid mi	00 250CT09	651	3.978.90	GS.	5.244.25	USD	2.970 % USD		2,030 56
the attention and the Actual Actual Control of the	NS1371465431	Lehman Brothers Treasury Co BV		30,000 as 000.05		USO 01-4 OSO	00 62NDVing	05.7	140.76	0:1	26.3.28	05	21 21 951		101 97
LE LI USD KINGE ACCITED Note SESTE HONOVING	XS0328586515	Lehman Brothers Treasury Co BV		CSD un nagraff.	D 792,10935	USD 1, 670,000 00	OR PRINCEN	=	10,427.95	Œ.			27.07.07.7		70,500
LB 21 USD Airing Range Acertal Nate S890 33NOV09	XS0331400027	Lehman Brothers Freasury Co. BV		(129, vinu (na 125)	U 1,383,415,35	USD 2,840,000 u	2380809	-==	15.23.7 50	9			(1277 11 115)		200 600
LB 21 USD Airtug Range Acertal Note Shell 13NOVU9	XS0331490530	Lehman Brathers Treasury Co BV	150	2.050,000 no USD	D 1,200,606 89	USD 2,436,000.06	90 23NOVB9	Gi	15.46.3.80	6	_				25 603 5
LB 21 UND Range Acertal Note S877 23NOV09	XS0331504745	Lehman Brothers Treasury Co BV	083	490 000 00 LSD	D 745,425 94	USD 1410 (00)	SOUND OF THE PERSONS	Ş	13.60 13	5		•	0,1 cr 851 t		20 (10)
I.B.2Y USD Airbag Range Acertal Note SN88 23NOV09	XS0331769454	Lehman Brothers Treasury Co BV	USD	550,000 no USD	6	USD \$ 780 000 0B	-	-	11 120 5	9		-	25 65 6		10.010.0
LB 27 UND Arring Range Acertal Note SS89 23NOVD9	XS0331769538	C BY	ri	.780,000 do (USD	D 1,470,341 22	•			24 477 71	5		-			7. 116 37.
LB 27 USD Range Accrual Nate S948 21DEC09	NS/0336230229	Lehman Brothers Treasury Co BV	LSD LSD	350,000.00 USD		177			6676.73	6	-	•			101 201
LB 2Y USD Range Accrual Nate State 17DECu9	Elegitorea	Lehman Brothers Treasury Co BV	USD 1.	Jen minimar.		. 64			4066.74	9 6			-		0.000
LB 2Y USD Range Accrual Note \$954 29DEC09	XS0336645089	Lehman Brothers Treasury Co BY	(3)	170 000 ttp 11573			, ,	1 6	11.0				_		9
LB 2Y USD Range Accrual Nove S959 29DEC99	XS0336927909				٠				100000	3 5	_				0.5
1 B 2V USD Airbag Range Acertal Note \$970 31DEC699	XS0337553688				•	•	_	-	25.35.45	3				_	432.55
LB 23' USD Airlag Range Accrual Note S983 04JAN10	XS0338525172	Lehman Brailbers Treasure Co By	•	~~		i		3	57 STX.	3	-				3,495.39
LB 2V USD Range Actival Note Stot 18JAN to	10.09502265X	2		_		,	-	3	1.02.5	9	_	9			1,673 14.
18 27 (32) Airling Range Accrael Note \$176 25(4N) to	CE010111607X	0 3						_	+ 189 %	9			3 128 50 USD		138.85
B IV 13D Dark Callable Excel Compan Note: 5081 05MARGE	V.S. 1. 19 61 1 612			_		.,		_	1322 01	8		USD CS	_	_	185,30
B. IV. IN Buth Callable Erred Comon Note 2003 1144 2000	Ventantantan V	4	·i	-		7	_	2	17.114.55	CSD	22,556 91 L	CET GSD	2,778 87 (USD		1,736,21
R.2.14V Ch.V. Boarder Hamilton Vote 1900 1114 April		-			582 166 57	_	11 MARO	<u>S</u>	1762.87	8	2,323 06 1	rso, 13	1.316 us USD		85 668
He is the present minima a role - transfer to	C+8067 605 873	I chman Brothers Treasury (p. BV 1)	6	CP:11 000 000 585	25 220 192 1	150		-	101101						